

Electronic Acknowledgement Receipt

EFS ID:	1880995
Application Number:	10001900
International Application Number:	
Confirmation Number:	8995
Title of Invention:	Balancing arbitragable tracking securities
First Named Inventor/Applicant Name:	Steven M. Bloom
Customer Number:	26161
Filer:	Denis G. Maloney/Marie Collins
Filer Authorized By:	Denis G. Maloney
Attorney Docket Number:	09857-073001
Receipt Date:	18-JUN-2007
Filing Date:	14-NOV-2001
Time Stamp:	12:06:35
Application Type:	Utility

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Payment was successfully received in RAM	\$1330
RAM confirmation Number	5302
Deposit Account	061050

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)

1		reply09857_073001.pdf	3053579	yes	15
Multipart Description/PDF files in .zip description					
Document Description		Start		End	
Amendment - After Non-Final Rejection		1		1	
Claims		2		7	
Applicant Arguments/Remarks Made in an Amendment		8		15	

Warnings:**Information:**

2	Extension of Time	ext09857_073001.pdf	133024	no	1
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Warnings:**Information:**

3	Information Disclosure Statement (IDS) Filed	ids09857_073001.pdf	646290	no	3
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Warnings:**Information:**

This is not an USPTO supplied IDS fillable form

4	NPL Documents	NPL-1.pdf	773112	no	10
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Warnings:**Information:**

5	NPL Documents	NPL-2.pdf	158342	no	3
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Warnings:**Information:**

6	NPL Documents	NPL-3.pdf	288333	no	3
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Warnings:**Information:**

7	NPL Documents	NPL-4.pdf	169344	no	3
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Warnings:**Information:**

8	NPL Documents	NPL-5.pdf	152408	no	3
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Warnings:

Information:

9	NPL Documents	NPL-6.pdf	152310	no	3
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Warnings:

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10	NPL Documents	NPL-7.pdf	461879	no	6
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Warnings:

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11	NPL Documents	NPL-8.pdf	147837	no	4
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12	NPL Documents	NPL-9.pdf	698905	no	11
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13	NPL Documents	NPL-10.pdf	227729	no	4
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Warnings:

Information:

14	NPL Documents	NPL-11.pdf	129035	no	2
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Warnings:

Information:

15	NPL Documents	NPL-12.pdf	1021524	no	12
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Warnings:

Information:

16	NPL Documents	NPL-13.pdf	195103	no	3
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Warnings:

Information:

17	NPL Documents	NPL-14.pdf	725561	no	10
Warnings:					
Information:					
18	NPL Documents	NPL-15.pdf	175699	no	4
Warnings:					
Information:					
19	Fee Worksheet (PTO-06)	fee-info.pdf	8559	no	2
Warnings:					
Information:					
Total Files Size (in bytes):					9318573
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